



Progress Report

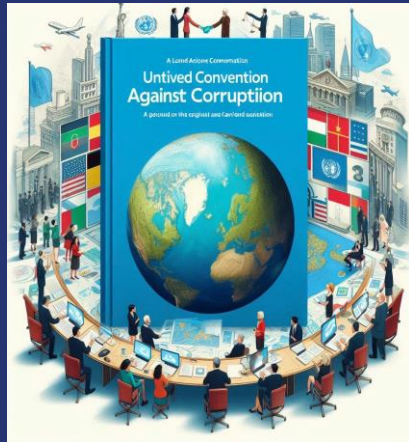
Guideline on “The extent to which INTOSAI member SAIs comply with the implementation of their international commitments to the United Nations Convention Against Corruption "UNCAC", and the resolutions of Conference of the States Parties to the United Nations Convention “CoSP”

The 17th Meeting of the INTOSAI Working Group on
the Fight Against Corruption and Money Laundering
Abu Dhabi- United Arab Emirates
September 3 – 5, 2024





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1. Brief on UNCAC and CoSP resolutions.
 2. Adoption of the Guideline.
 3. Overview of the Guideline's Development Process.
 4. Progress of the Guideline development Process.
 5. Conclusion.
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Brief on **UNCAC** and CoSP Resolutions

- The **UNCAC** is an international treaty aiming to fight corruption globally, where it serves as a comprehensive framework that encourages member states to implement anti-corruption policies, promote transparency and support accountability.
- **SAIs** play a critical role in the framework of the **UNCAC** by contributing to the prevention and detection of corruption.
- UNCAC provides a global framework for fighting corruption and the **CoSP** oversees its **implementation**, reviews progress and fosters international cooperation.
- The resolutions adopted by **CoSP** have a significant impact on **SAIs' work**.

Adoption of the Guideline

Stemming from the importance of SAIs' role in the detection and fight against corruption emphasized in the UNCAC and the CoSP's resolutions, the ASA has proposed to develop a Guideline to evaluate SAIs' compliance to their obligations as articulated in the Convention and its relevant resolutions, with the objective of developing a Guideline, to serve as :

1

An effective general SAI strategy, consistent with the public policy of its respective State regarding combating corruption.

2

A database and a permanent register for SAI with all its international obligations stated in international documents.

3

A database of human, material and financial needs to overcome SAI's work challenges to achieve its vision, mission and strategic objectives.

4

A statement of the most important practices of the SAI and the work challenges thereof considering the public policy of its State in combating corruption.

Adoption of the Guideline

The ASA's proposal presented during the 16th WGFACML Meeting last year was discussed and adopted under Goal 1 of its Work Plan 2023-2025, where SAIs of Brazil, Russian Federation, Thailand, Guatemala, Morocco and Papua New Guinea expressed their interest to join the project team concerned.



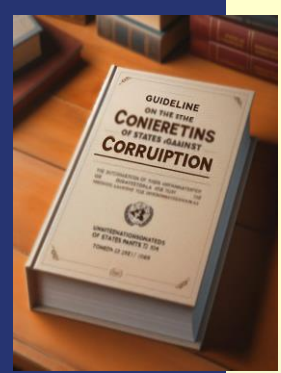


Overview of the Guideline's Development Process

The Guideline is to be developed as a non-IFPP product with Quality Assurance Level 2, thus will be subjected to more limited quality assurance processes involving stakeholders from outside the WGFACML.....

Accordingly, the quality assurance processes will be briefed as follows:

- The Guideline will be subject to the WGFACML Chair's approval at all the three stages of the IFPP Due process, namely, the Project Proposal, Exposure Draft and Endorsement Version.
- The project team will work on a detailed project proposal, stating the Guideline's objectives, the quality assurance process suggested and the project's milestones.



Overview of the Guideline's Development Process

- The project team will work on developing an Exposure Draft, where it will search for WGFACML member SAIs, stakeholders and other parties working outside the WGFACML and seek their expert comments/feedback on the document, while providing reasons for selecting such parties as well as stating their connection with the Guideline's subject.
- Once the WGFACML Chair approves the Exposure Draft, the document will be subjected to a 45-day public exposure on the INTOSAI Community Portal after which the received comments and feedback will be addressed and presented to the WGFACML Chair for his overview and due consideration.



Overview of the Guideline's Development Process

- The project team will forward the disposition table containing the comments received and how they were addressed to the KSC Secretariat for display on the INTOSAI Community Portal.
- The project team will work on issuing an Endorsement Version which will be referred to the KSC along with the necessary assurance certificate signed by the WGFAACML Chair with regard to following up the due process.
- Based on this assurance and that provided by the WGFAACML Chair, the KSC Chair shall issue a certificate that will be affixed in the Document.



Progress of the Guideline's Development Process

- The project team has finalized the detailed Guideline's Project Proposal which was approved by the WGFACML Chair in February 2024.
- The project milestones are determined with the aim of endorsing the final version of the Guideline at the XXV INCOSAI in 2025.
- The project team has convened twice to discuss the mechanism and methodology for developing the Guideline. A concept note has been developed outlining the evaluation fields and detailed project work plan in addition to assigning initial tasks to the project team's member SAIs.



Progress of the Guideline's Development Process

The project team member SAIs are now in the process of:

- Developing their good practices concerning the implementation of their Convention obligations and its related resolutions adopted by the Conference of the States Parties.
- Developing a survey to be disseminated to all INTOSAI member SAIs through a questionnaire dealing with the articles of the Convention and CoSP's resolutions.
- Identifying the experts that could be referred to outside the WG FACML member SAIs.
- Additional meetings are expected to be scheduled throughout the development process.



Conclusion

The development of the Guideline to evaluate SAIs' compliance with their obligations under the United Nations Convention Against Corruption (UNCAC) and its associated resolutions represents a crucial endeavor in enhancing global anti-corruption mechanisms. This Guideline aims to enhance SAIs' effectiveness in promoting transparency and accountability by aligning their practices with the international best ones.

While progress has been made, including the approval of the detailed Project Proposal and initial efforts by the project team, substantial work remains to ensure the Guideline's successful development. The ongoing tasks, such as developing good practices, developing a comprehensive survey and identifying external experts, are pivotal tasks ensuring the project's advancement. As the development process continues, the commitment of all involved stakeholders will be essential to achieve the final endorsement of the Guideline at the XXV INCOSAI in 2025, thereby contributing to a more robust global framework for combating corruption.

Thank you!

